

## **AUDIT COMMITTEE**

**(Port Talbot Civic Centre, Committee Rooms 1/2)**

**Members Present:**

**19 September, 2018**

**Chairperson:** Councillor J.D.Morgan

**Councillors:** A.R.Aubrey, H.C.Clarke, S. ap Dafydd,  
O.S.Davies, J.Miller, A.J.Richards and  
R.W.Wood

**Officers In Attendance** H.Jones, H.Jenkins, A.O'Donnell and N.Headon

**Representing the Wales Audit Office:** G.Gillett and A.Lewis

**Voting Lay Member:** J.Jenkins

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1. **MINUTES OF PREVIOUS MEETING HELD ON 25 JULY 2018**

**RESOLVED:** that the minutes of the meetings held on 25 July, 2018, be noted.

2. **MEMBERS DECLARATION OF INTEREST**

The following Member made a declaration of interest at the commencement of the meeting:

Councillor J.Miller - Report of the Head of Finance and Corporate Services, Agenda Item 4, Progress Report to 31 August, 2018, as he is Governor at Melin Primary School and YGG Castell Nedd Primary School, Neath.

3. **WELSH AUDIT OFFICE - AUDIT UPDATE REPORT**

The Committee received an overview from the Wales Audit Office on the Audit Committee Update.

It was noted that the Wales Audit Office, Annual Improvement Report 2017-2018, will go to Council on 26 September, 2018.

**RESOLVED:** that the report be noted.

4. **PROGRESS REPORT TO 31 AUGUST 2018**

Members received an update on progress to 31 August, 2018, as detailed in the circulated report.

It was highlighted that in the Published Reports, Appendix 1 of the circulated report, the Risk Category for each subject is derived from applying a number of variables to a spreadsheet and applying a formula which calculates the risk rating.

It was noted that in relation to Governors DBS checks that this is a new test recently undertaken and guidance has been issued to relevant parties to ensure compliance going forward.

**RESOLVED:** that the report be noted.

5. **RISK MANAGEMENT ARRANGEMENTS**

The Director of Finance and Corporate Services gave an update on the Risk Management Arrangements, as detailed in the circulated report.

It was noted that the Councils Risk Management Policy and Strategic Risk Register, was approved by Members in Cabinet on 27 June, 2018.

The Director of Finance and Corporate Services highlighted that if Members identify a risk, this can be taken back to Cabinet Scrutiny Committee in order to scrutinise the risk. Also, if there is change in the level of risk, this will be highlighted to Members.

The Director of Finance and Corporate Services look further into EDLL6 and ENV26 detailed in the Operational Risk Register, Appendix 2 of the circulated report.

It was noted that acronyms and risk be listed in an Index on the Strategic and Operational Risk Reports, in order for ease of reference.

**RESOLVED:** that the report be noted.

6. **ACCESS TO MEETINGS**

**RESOLVED:** that pursuant to Section 100A(4) and (5) of the Local Government Act 1972, the public be excluded for the following items of business which involved the likely disclosure of exempt information as defined in Paragraphs 12, 13 and 14 of Part 4 of Schedule 12A to the above Act.

7. **INTERNAL AUDIT - SPECIAL INVESTIGATIONS**

Members received an update on Special Investigation Audits undertaken in the last quarter.

**CHAIRPERSON**